

## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/662,478	09/15/2000	Patrick J. Venta	UMV-1226CPPCUS

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109

CONFIRMATION NO. 3602

## FORMALITIES LETTER



\*OC000000008802363\*

Date Mailed: 09/17/2002

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Patrick J. Venta et al.

Serial No.: 09/662,478

Filed: September 15, 2000

For: *DNA ENCODING CANINE VON  
WILLEBRAND FACTOR AND METHODS OF USE*

Attorney Docket No.: UMV-1226CPPCUS

Group Art Unit: 1652

Examiner: not yet assigned

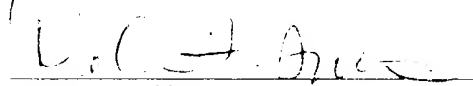
**Box Sequence**  
**Commissioner for Patents**  
**Washington, D.C. 20231**

**Certificate of First Class Mailing (37 CFR 1.8(a))**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Sequence, Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

November 18, 2002  
Date of Signature and of Mail Deposit

By:

  
DeAnn F. Smith, Esq.  
Registration No. 36,683  
Attorney for Applicants

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS  
FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE  
SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Dear Sir:

In response to the Notice to Comply with Requirements for Patent Applications  
Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, mailed from the

U.S. Patent and Trademark Office on September 17, 2002, we hereby advise you that

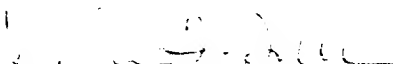
enclosed is a paper copy of the substitute Sequence Listing and a statement that the content of the  
substitute Sequence Listing appearing on pages 1-17 and the computer readable copy are the

for Patent Applications Containing Nucleotide and/or Amino Acid Sequence Disclosures is also enclosed herewith.

Immediately after the Abstract, please insert the hard (paper) copy of the substitute Sequence Listing, numbered pages 1-17, submitted herewith. No new matter has been added.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

  
\_\_\_\_\_  
DeAnn F. Smith, Esq.  
Registration No. 36,683  
Attorney for Applicants

28 State Street  
Boston, MA 02109  
Tel. (617) 227-7400

Dated: **November 18, 2002**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Patrick J. Venta et al.

Serial No.: 09/662,478

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Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER FOR DISKETTE  
CONTAINING SUBSTITUTE SEQUENCE LISTING**

Dear Sir:

Enclosed is a diskette which contains a computer readable form of the substitute Sequence Listing for the continuation-in-part patent application filed September 15, 2000. The substitute Sequence Listing complies with the requirements of 37 C.F.R. § 1.821. The material on this diskette is identical in substance to the sequence listing appearing on pages 1-17 of the substitute Sequence Listing which is submitted herewith, as required by 37 C.F.R. § 1.821(f). The computer readable form of the substitute sequence listing contained on the enclosed diskette is understood to comply with the requirements of § 1.824(d).

**Certificate of First Class Mailing (37 C.F.R. §1.8(a))**

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service as first class mail in an

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Date

DNA ENCODING CANINE VON WILLEBRAND FACTOR AND METHODS OF USE

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Boston, MA 02109